# The role of context in image interpretation

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#### **Abstract**

The problem we address in this paper is the role of context in the interpretation of images when pictures are used as queries. An image usually depicts several objects and is open to a great number of interpretations. The problem is how to determine the intention of an image query. We suggest that this problem is structurally similar to the problem of how to interpret an ambiguous sentence, and that the task can be modelled in a similar way. The role of the context is a key factor in the solution of the problem of disambiguation. But we argue that existing accounts of context do not explain what role the context plays in this. It is then shown how the disambiguation of images as queries can be modelled as a game of partial information. On the basis of this, a more precise account of the role of context in communication is proposed.

### Introduction

Digitized image collections are increasingly available to the general public. Unfortunately, image retrieval algorithms do not yet have the effectiveness of their counter-part text retrieval algorithms, when measured by the degree of relevance of the result sets for the user. There are 2 main approaches to image retrieval. The most common approach used for Internet access to image databases is a keyword match based on the annotations associated with the image. An alternative approach, called content-based image retrieval, CBIR, uses an input image which is matched to the structural characteristics (color, texture and shape) of the stored images. This latter approach suffers from the gap between the user's understanding of the semantic meaning of the search image and the current inability of the computer to identify objects within the image as well as its semantic meaning.

Our work<sup>2</sup> is focused on improving the quality of image retrieval by including *context* information in both the annotations of image collections and in the interpretation of user queries. In this paper we will address the second issue.

The problem when an image is used as a query is how context can be used to determine the user's intention in submitting the image query. The main problem is that an image has far fewer constraints on the interpretation than a string of text.

Consider the following scenario, which is typical of the application area we are concerned with. A tourist is walking around in the city and stops in front of an old church that she finds interesting. There is also a group of sculptures clearly visible above the church door. The tourist wants to know more about the church and pulls out her camera phone, takes a picture of the front of the church, including the sculptures above the church door, and sends it to the service for historical information, expecting to get information about the church. The problem, now, is how can the service determine that she in fact wants general information about the whole church, and not specific information about just the sculptures, when both objects are depicted in the photo?

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We will address two general issues in the connection with this question. First, we will suggest that the structure of the problem about the interpretation of the user's intention on sending the picture is analogue to the problem of how an ambiguous *sentence* is interpreted. We will argue this point in more detail below, but at least it is clear that in this process of disambiguation of a sentence the context plays a central role. This brings us to the second general issue we will address here: what role does the context play in the interpretation of an ambiguous sentence, and in the disambiguation of a query in the form of an image?

Let us start with the latter issue. There are a number of definitions of context in the literature (e.g. [1], [2], [4], [6]) and many of them define context in terms of the role the contextual information plays in the interaction between the system and the user. A central example is Dey's [1] definition of context as

any information that can be used to characterize an entity. An entity is a person, place, or object that is considered relevant to the interaction between a user and an application, including the user and application themselves. (p. 5)

While we agree that Dey's definition is basically correct, it is clear that the reference to what is "considered relevant to the interaction" leaves a lot to be explained. When one considers particular examples of communication it is often easy to point out what information is relevant. But it is important at a theoretical level to explain how and in virtue of what information becomes relevant in a given situation. We suggest that this explanation can be given only by way of an analysis of the structure of communication.<sup>3</sup>

It should be mentioned, however, that Dey does give a partial answer to the question about when information becomes relevant. In his definition of a context aware application he suggests that relevance is relative to the user's task:

A system is context-aware when it uses context to provide relevant information and/or services to the user, where relevancy depends on the user's task. (p. 5)

Again, we share the spirit of Dey's definition but claim that it leaves important questions about how information becomes relevant unanswered. The problem is that the definition seems to treat the user's task as something that is given. But in the cases we are considering, with ambiguous images, the problem is exactly to figure out what the user's task is. The context clearly has to be involved in the determination of what the user's task is, but then we cannot look at the user's task to determine what information is relevant. The system has to use the context to find out what the user's task is. We cannot in general assume that the user's task is known but want to understand how the application can use the context of the communication to determine the right interpretation of the query. Thus, the definition cannot be made operational. Something more is needed to explain how information becomes contextually relevant. Our suggestion, which we will develop in detail below, might not seem radically different from Dey's definition:

Context is the information that must be common knowledge between user and system for communication between them to succeed.

<sup>&</sup>lt;sup>3</sup> We are of course not the first to suggest this line of approach to the analysis of context and relevance. Mani and Sundaram (2007) argue that the key to the understanding of context is to analyse its role in communication. Our approach to the analysis of communication is however different from theirs.

But the definition is in fact different in important respects from Dey's, as we will try to make clear through the discussion below.

That this is a reasonable definition of context is one of the points of the paper. The other one, mentioned above, is the suggestion that the problem of determining the user's intention in sending an image to a service is a special case of the problem of disambiguation. We have two arguments for this. The first is that it is intuitively plausible. Consider a person who utters the ambiguous sentence "Every day a man is mugged in Bergen". It seems clear that the most likely interpretation is that "every day there is some man or other who is mugged", rather than "there is one particular man who is mugged every day". How do we know this? Because, first, on the basis of general knowledge about the world. Second, because we can assume that this is common knowledge and that if the speaker had intended the second interpretation he would have used a sentence that was not ambiguous. The point is that the disambiguation cannot happen without bringing in the context. The situation is exactly similar when a statement is made in the form of an image: both the background information about the world and reasoning about what is common knowledge have to be brought to bear in the choice of an interpretation.

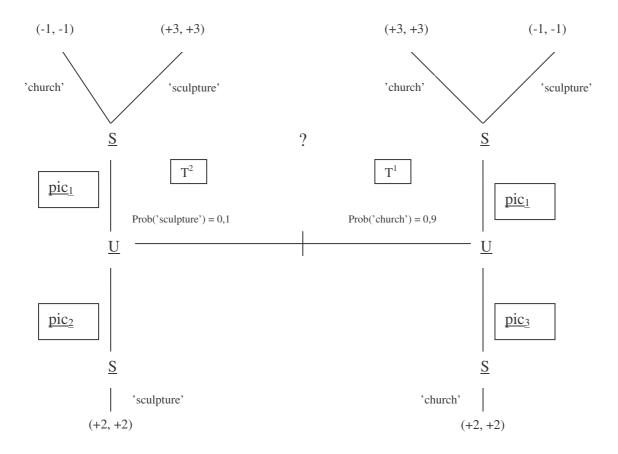
Our second argument for the claim that the interpretation of an image is similar to the disambiguation of a sentence is that a model of the latter can be used to analyse the former. In the following we will present and discuss this model of disambiguation.

### Parikh's model of disambiguation

There are many pieces of information that might be relevant to determine the user's context. There is information about location, general background information, information from analysis of the image, etc. But what information is actually useful in the interpretation of a given image-query? Before we can answer this question, an account is needed of the precondition for the common determination of the meaning of an ambiguous query.

In his book *The Use of Language*, Parikh [5] develops an account of how two communicating agents achieve understanding of the intended meaning of an ambiguous sentence. To this end he uses the framework of games with incomplete information. Applying his theory to our setting, assume that we have a human user  $\underline{U}$  and an automated system  $\underline{S}$  communicating via photographs. The user sends pictures to the system, and the system tries to determine what informational need the image indicates, and sends relevant information back to the user.

The problem can be modelled as a game with partial information. Assume that  $\underline{U}$  moves first and sends a picture pic<sub>1</sub>, e.g. a picture of a church, to  $\underline{S}$ , and that pic<sub>1</sub> has two interpretations 'church' (i.e. the whole church) and 'sculptures' (i.e. the sculptures on the church wall). The picture is visible to both actors, and hence common knowledge. Assume, further, that  $\underline{U}$ 's intention in sending the picture to  $\underline{S}$  is to communicate the first interpretation, i.e. that she wants to know more about the whole church. But since  $\underline{S}$  does not have direct access to  $\underline{U}$ 's mind, it has to infer it on the basis of general assumptions about the situation and information about the context. There are several further aspects of the context that play a role in the making of this inference, as the model will make clear. Let us explain the details with reference to the following figure:



By sending the picture,  $\underline{U}$  could either intend to indicate that she is interested in the church or in the sculptures. If the first is the case,  $\underline{U}$  would be in situation  $T^1$ . If the intention is to indicate the sculptures,  $\underline{S}$  would be in  $T^2$ . The right side of figure is a representation of the situation where U wants to indicate to S that she is interested in the whole church, i.e.  $T^1$ , while the left side represents the situation  $T^2$ . We see that, as indicated on the central horizontal line,  $\underline{U}$  is more likely (0,9) to want information about the whole church than the sculptures in particular (0,1). This is assumed to be a fact about  $\underline{U}$  at this point of the interaction. (After she has received general information about the church, the probability that she wants information about the sculptures will perhaps increase.) Note that there is a real chance that she would send pic<sub>1</sub> even when she wanted to know more about only the sculptures (i.e. in  $T^2$ ), hence the ambiguity.

But even though  $\underline{U}$  of course knows her own intentions,  $\underline{S}$  can observe only the picture (pic<sub>1</sub>) and cannot be sure whether it is in situation  $T^1$  or  $T^2$ . The problem is, again, how can  $\underline{S}$  rationally be sure of  $\underline{U}$ 's intention in this game? For this to be possible, two more elements are needed. The first is that knowledge about alternative ways of depicting the object of interest that are not ambiguous. For example, a close up picture of only the sculptures would unambiguously indicate the sculptures. Similarly, a picture taken from a longer distance of the whole church without any surrounding buildings would unambiguously indicate an interest in the church in general. In the figure above, these alternative ways for  $\underline{U}$  to indicate her intention appear in the lower half of the diagram, and are called pic<sub>2</sub> and pic<sub>3</sub>, respectively. We see that these alternative ways of depiction have only one interpretation, and one that unambiguously expresses  $\underline{U}$ 's intention.

The second element that is needed for S to be able to solve the problem of determining U's intention, is that the parties have to assign values to the possible outcomes, i.e. that a payoff function is defined. Consider first the upper, right-hand part of the figure. Here  $\underline{U}$  sends  $pic_1$  to  $\underline{S}$  with 'church' as the intended interpretation. If, now,  $\underline{S}$  chooses 'church' as the interpretation of the picture, this is a positive outcome for both. On the other hand, if  $\underline{S}$  chooses 'sculptures' as the interpretation, we have a case of miscommunication and this would be a negative outcome for both. (Technically, the values assigned to the outcomes could be different, but since the parties are cooperating, it is fair to assume that they have the same valuation.) The situation is different in the upper left part of the figure. Here  $\underline{U}$  sends  $pic_1$  to  $\underline{S}$  with 'sculptures' as the intended interpretation. If  $\underline{S}$  here chooses 'church', this would mean a breakdown of communication and thus a negative outcome, while 'sculptures' would be a positive result for both.

Consider now the lower part of the figure. On the right side, i.e. in situation  $T^1$ ,  $\underline{U}$  sends pic<sub>3</sub> which unambiguously indicates to  $\underline{S}$  that 'church' is the intended interpretation. There is only one outcome and this secures a positive outcome. Similarly on the left side, in situation  $T^2$ , where pic<sub>2</sub> unambiguously indicates to  $\underline{S}$  that  $\underline{U}$ 's intended interpretation is 'sculptures'. We see that the outcomes in this case, even though they are positive, are valued lower than the positive outcomes in the upper part of the diagram.

This brings us to the second element in the solution of the game of disambiguation of the image. In order to achieve this,  $\underline{U}$  and  $\underline{S}$  "need to compare this ambiguous utterance against an unambiguous one, to ensure that it is more efficient". (Parikh, 30) The point is that the outcomes are different because it takes more *effort* and is thus more costly to create an unambiguous picture. For example,  $\underline{U}$  could have moved up closer to the church and focussed only the sculptures (i.e.  $\operatorname{pic}_2$ ). Or, again, she could have moved farther away and taken a picture that captured the whole church and without the sculptures clearly visible ( $\operatorname{pic}_3$ ). This would have communicated that the church was the object of interest. But in both cases the communicative success comes with a price: the extra cost involved in taking more precise pictures. These extra costs are the reason the payoffs are lower in these cases.

## The assumptions of common knowledge

With these elements in place, it is possible for a rational agent to determine  $\underline{U}$ 's intended interpretation. To reach a unique solution to this problem, a number of requirements have to be met.

- 1) Both of the agents have to be rational, i.e. their preferences are consistent and transitive, and they maximise outcomes.
- 2) They have to share a system of ways to depict objects, i.e. there is a language of a sort that is common knowledge.
- 3) There has to be common knowledge about how structures in the pictorial language refer to objects in the real world.
- 4) In the situation there has to be common knowledge of what the possible interpretations are, i.e. that the picture in question (pic<sub>1</sub>) is ambiguous. Hence, it is common knowledge that <u>S</u> knows that it is in situation T<sup>1</sup> or situation T<sup>2</sup>, but not which.
- 5) There has to be shared knowledge about how relatively likely the various interpretations are. In our example, it has to be assumed to be common knowledge that the first interpretation ('church') is more likely than the second.
- 6) The values distributed to the various outcomes by the payoff-function also have to be common knowledge. In our case, this also means that it has to be common knowledge

that referring to the objects unambiguously takes greater effort than referring to them ambiguously.

These are of course highly non-trivial assumptions, an issue to which we will return to briefly in the conclusion below. But the important theoretical point for now is that on the basis of these assumptions, it can rigorously be shown that a rational sender will choose the signal that is most efficient and that a rational receiver will end up with the intended interpretation. It is not necessary for our purposes to go into the details of the proof that a unique equilibrium exists, which involves both the idea of a Nash-equilibrium and that of a Pareto-dominance between strategies. Nor is it necessary for our purposes to go into the details of the proof that a unique equilibrium exists. The interested reader is referred to Parikh's superb exposition [5].

### Context and common knowledge

Parikh's model offers a very powerful account of interpretation and disambiguation of sentences in natural language. As the discussion above shows, it can also be used to model the interpretation of images. However, the model makes very strong assumptions that are hard to meet in practice. Therefore it cannot be taken as a blueprint for implementation. But the model is interesting because it so clearly identifies the elements of information that have to be common knowledge for disambiguation to take place. This is helpful with respect to the discussion of the definition of context with which the paper started. The problem with the definition provided by Dey was that it involved essentially the notion of relevance. But this leaves unanswered the question of what information is relevant. But this is exactly what the model discussed above provides. The information that is relevant, and hence makes up the context, is exactly the information that has to be common knowledge in order for communication to succeed.

### Conclusion

We have argued that the notion of context should be identified with the information that has to be common knowledge for communication to succeed. Furthermore, we have argued that the problem of how to determine the intended interpretation of an image used as a query can be modelled as a game of partial information. But can this model be used as a framework for the development of a context-aware application? Several problems emerge. First, images do not depict objects in the same ways as written language does (as established by Goodman in [3]). They do not have syntactic and semantic structures analogous to writing. Still, as we know, it is possible to automatically recognise objects in images given enough world knowledge in a limited domain. For example, in the CAIM project, mentioned above, we will handle this with the use of location data together with image analysis of photographs of a restricted set of historically interesting buildings and objects. A second problem is the amount of world knowledge that is needed to be able to undertake the disambiguation, i.e. to know the set of possible interpretations, their respective probabilities and the valuation of various outcomes. The third problem is to establish the vast range of common knowledge that is needed in order to solve the problem of disambiguation. This is also a highly non-trivial problem. The final problem we will mention is related to the assumption of rationality. It is well known that humans are not perfectly rational in the sense specified by rational choice theory and a context-aware application should be able to take this into account. A fifth problem is to make sure that all of this is common knowledge between the user and the system.

But even if the model cannot be taken as a blueprint for the implementation of an application that is able to disambiguate an image, the account still provides important guidance for the

development of such a framework. Our claim is that the clearer understanding of the role of context that the game theoretic model provides is a useful basis for the development of context aware image management.

### References

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